

08/16/00

JC845 U.S. PTO

Please type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 3334.1

First Inventor or Application Identifier Rosenow et al.

Title Methods and Computer Software Products for Transcriptional Annotation

Express Mail Label No. EL474110565US

JC887 U.S. PTO
09/641081
08/16/00**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 41]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
4. Oath or Declaration [Total Pages 4]
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label(Insert Customer No. 22886 bar code label here)or ☐ Correspondence address below

Name

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Wei Zhou

Registration No. (Attorney/Agent)

44,419

Signature

Date

8/16/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 730.00

C m p l e if Known

Application Number
Filing Date August 16, 2000
First Named Inventor Rosenow et al.
Examiner Name
Group / Art Unit
Attorney Docket No. 3334.1

JCS87 U.S. P.T.
09/16/00



| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------------------|--|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 01-0431 Deposit Account Name: Affymetrix, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 | | 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other | | 105 130 205 65 Surcharge - late filing fee or oath | |
| FEE CALCULATION | | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. | |
| 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid | | 139 130 139 130 Non-English specification | |
| 101 690 201 345 Utility filing fee 690 | | 147 2,520 147 2,520 For filing a request for reexamination | |
| 106 310 206 155 Design filing fee | | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | |
| 107 480 207 240 Plant filing fee | | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | |
| 108 690 208 345 Reissue filing fee | | 115 110 215 55 Extension for reply within first month | |
| 114 150 214 75 Provisional filing fee | | 116 380 216 190 Extension for reply within second month | |
| SUBTOTAL (1) (\$) 690 | | 117 870 217 435 Extension for reply within third month | |
| 2. EXTRA CLAIM FEES | | 118 1,360 218 680 Extension for reply within fourth month | |
| Total Claims 14 -20** = 0 X Fee from below = 0 | | 128 1,850 228 925 Extension for reply within fifth month | |
| Independent Claims 2 -3** = 0 X Fee Paid = 0 | | 119 300 219 150 Notice of Appeal | |
| Multiple Dependent = 0 | | 120 300 220 150 Filing a brief in support of an appeal | |
| **or number previously paid, if greater; For Reissues, see below | | 121 260 221 130 Request for oral hearing | |
| Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid | | 138 1,510 138 1,510 Petition to institute a public use proceeding | |
| 103 18 203 9 Claims in excess of 20 | | 140 110 240 55 Petition to revive - unavoidable | |
| 102 78 202 39 Independent claims in excess of 3 | | 141 1,210 241 605 Petition to revive - unintentional | |
| 104 260 204 130 Multiple dependent claim, if not paid | | 142 1,210 242 605 Utility issue fee (or reissue) | |
| 109 78 209 39 ** Reissue independent claims over original patent | | 143 430 243 215 Design issue fee | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | 144 580 244 290 Plant issue fee | |
| SUBTOTAL (2) (\$) 0 | | 122 130 122 130 Petitions to the Commissioner | |
| | | 123 50 123 50 Petitions related to provisional applications | |
| | | 126 240 126 240 Submission of Information Disclosure Stmt | |
| | | 581 40 581 40 Recording each patent assignment per property (times number of properties) 40 | |
| | | 146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | Other fee (specify) _____ | |
| | | Other fee (specify) _____ | |
| SUBTOTAL (3) (\$) 40 | | Reduced by Basic Filing Fee Paid | |

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|----------|-----------------------------------|--------------|
| Name (Print/Type) | Wei Zhou | Registration No. (Attorney/Agent) | 44,419 |
| Signature | | Telephone | 408-731-5699 |
| | | Date | 8/16/00 |

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